

January 14, 2010

TO ALL PROPOSERS:

RE: Request for Proposal
New Jersey Turnpike Authority
The Upgrade and Enhancement of the PeopleSoft Financial System
And Optional PeopleSoft Financial System for
South Jersey Transportation Authority
Responses to Inquiries

Dear Sir/Madam:

Below are the Authorities' responses to inquiries received with respect to the above-referenced Request for Proposal ("RFP").

Response to Inquiries:

1. Please clarify the level of DBA support, which will be supplied by NJTA in support of the upgrade and the implementation at SJTA (Optional).

Answer: NJTA Data Base Administrator ("DBAs") will provide the necessary instance space required for the upgrade and the optional work. The provided space will also be backed up and restored as needed. NJTA DBAs will also configure the Oracle Data guard product for Disaster Recovery and apply any required data base patches. Any additional DBA type activities should be included within the response to this RFP including but not limited to performance tuning and troubleshooting.

In addition, the Consultant under the supervision of the NJTA will be responsible for the application servers, web servers, and process schedulers for the systems.

SJTA has no staff DBA and no in-house PeopleSoft expertise. SJTA does have a full time analyst primarily dedicated to SJTA existing Pentamotion system.

2. What is driving the 6-month deadline to go operational by October 31? (RFP page 13, 2.b)

Answer: The upgrade project is required to be completed before year-end. The NJTA Finance Department requires that the upgrade not occur on a quarter end. During the month of November, the year end financial audit process begins; therefore Finance staff will have other obligations. October 31st is the latest acceptable go live date.

3. Which of the requested SJTA functionality is currently provided in Pentamation versus that which is provided by other legacy systems (please specify), provided manually (E.g. by spreadsheet) or not provided currently. (RFP page 16, 4).

- **Answer** SJTA System Requirements
 - General – N/A
 - Reporting and Dashboards – Standard Reports Provided, No Dashboard Feature
 - Document Management - Not provided in Pentamation
- Finance System Requirements
 - General Ledger – Provided in Pentamation
 - Cash Management and Treasury – Not Provided in Pentamation
 - Budgeting – Operational – Provided in Pentamation
 - Budgeting – Capital – Provided in Pentamation
 - Accounts Payable– Provided in Pentamation
 - Invoicing and Accounts Receivable– Provided in Pentamation
 - Fixed Assets– Provided in Pentamation
- Purchasing
 - General Purchasing– Provided in Pentamation
 - Requisitioning and Workflow- PO Requisitioning in Pentamation, Workflow Not
 - Purchase Order Management and Bid Management – Not Provided in Pentamation
 - Contract Management - Not Provided in Pentamation
- Human Resources System Requirements
 - Human Resources Management - Provided in Pentamation
 - Benefits Administration - Provided but looking for improved functionality
 - Time and Attendance (including time clocks) – Provided but looking for improved functionality
- Engineering and Operations
 - Work Order Management – Not Provided in Pentamation
 - Project Management - Not Provided in Pentamation
 - Inventory Management - Not Provided in Pentamation
 - Asset Management - Not Provided in Pentamation

4. Has SJTA conclusively determined that the data conversion as specified is necessary, or should the proposed scope of work include cost/benefit analysis of alternative approaches (e.g. maintaining Pentamation for historic inquiries) (RFP page 8,I) ?

Answer: All data must be converted and migrated into the new system as specified in Section III E5i on page 18 of the RFP. No alternate approaches e.g. maintaining legacy system will be allowed.

5. Concerning the three SJTA interfaces are these currently interfaced to Pentamation and if so, please describe the level of the interface (i.e. transaction- level, batch transaction level, period summary level) and the interface technology (i.e. API, XMI, CSV, etc.) (RFP page 18, j-m)

Answer: There are no current Pentamation interfaces.

6. Is the target PeopleSoft financials version is 9.1? Page 11 of RFP shows 9.2 where as page 13 shows Release 9.1.

Answer: The target PeopleSoft Financials version is the latest version available at the start of the project. Currently it is 9.1 but it could be 9.2 if available (See Page13.)

7. How training is conducted currently. Is there any tools used? If yes, can consulting company use to tool.

Answer: Currently traditional classroom training is used. No tools are in use The Consulting can use any means that is workable.

8. How many users have to be trained?

Answer: Approximately 450 NJTA users need to be trained. SJTA has approximately 140 users that need to be trained.

9. Can train-the trainer concept can be used

Answer: For daily functional users the Consultant should conduct training. For users who only perform limited specific functions (i.e. entering a purchase requisition, entering a check requisition, and entering a receiving report) train-the-trainer may be proposed as an option.

SJTA would consider various training options as long as comprehensive training to each end user is accomplished.

10. Is there any location restriction of work? Can the work be done from offsite (within US) and offshore (outside US)?

Answer: NJTA functional and technical staff prefers to work closely with the Consultants in order to facilitate knowledge transfer and understand software problem resolutions. Consequently the work should be performed on-site.

11. Does NJTA have business requirement, functional and technical specification. If yes, how up to date these documents are. Can these documents be shared with selected vendor?

Answer: (a.) Individual functional areas may have some business and functional specifications pertaining to their respective procedures. (b.) For customization of the application, an up-to-date Word document listing Peoplesoft projects exists which provides a one to two sentence technical description of the project. For most customizations there is also a short technical specification document. A process flow diagram of the system architecture as well as a short document describing the architecture also exists. These documents will be available to the Consultant.

12. How many NJTA SME, functional and technical will be available for consultation/clarification.

Answer: Staff will be available as needed for collaboration and advice. NJTA also assumes the Consultant will be reasonable in their requests for assistance. NJTA has worked with Consultants on many PeopleSoft assignments during the last 5 years. These groups have never expressed any concern about the availability of resource support.

13. Does NJTA have test scripts? If yes, can the scripts be shared with selected vendor?

Answer: NJTA does have test scripts, which will be shared with the Consultant Section III D 6 of the RFP thoroughly details the Consultant's responsibilities pertaining to the test scripts.

14. Is ERP Product selected for SJTA implementation?

Answer: The ERP SJTA product for the optional part of this RFP is the NJTA's PeopleSoft system.

15. Is there any timeline for ERP rollout at SJTA?

Answer: See Section III E 5o of the RFP (Page 19) entitled Project Milestone for the SJTA ERP Roll Out Schedule.

16. Where SJTA ERP be hosted? Will it be in existing data center?

Answer: SJTA ERP for this RFP will be hosted at the NJTA data center in Woodbridge NJ and the NJTA disaster recovery data center in Hamilton NJ.

17. Page 4, Section 1- The RFP states that the NJTA requires 'possible new configurations to streamline Inventory / General Ledger reconciliation process:

a. Does the NJTA expect the consultant to identify potential options, or to implement them as well?

Answer: During the best practices, review of Inventory the Consultant is expected to identify the potential options and discuss them with Finance staff. The Consultant will be expected to implement the best option determined in conjunction with Finance.

b. Because some configuration changes could be significantly more complex and costly than others, and may require conversion of data or customization, and it is impossible to anticipate what these changes would be until after a review of the actual configuration, can the respondent propose a range of fees for the response?

Answer: The Proposer should make reasonable assumptions and provide its cost based on those assumptions.

18. Page 4, Section 1- What does the NJTA and SJTA anticipate the scope of the existing system architecture that could be leveraged by the SJTA?
- a. Does this include production hardware?
 - b. Does this include current application configuration?
 - c. Does the NJTA have original configuration documentation from their implementation in 2005, and would this documentation be available for the consultant to use in their implementation at the SJTA?

Answer: (a) SJTA will leverage existing NJTA production, disaster recovery, and test hardware. (b) NJTA application configuration can be leveraged if SJTA adopts NJTA business processes or if NJTA business processes are applicable to SJTA. See Addendum No.1 attached hereto. (c) The NJTA does not have configuration information available from the 2004 implementation.

19. Page 9, Section II –Q – is there a specific target for SBE participation in the contract.

Answer: There is a State requirement of 25% SBE participation for the Authorities procurement contracts. While there is no specific target for this solicitation, a good faith effort to use SBE's is encouraged. See Section II Q.

20. Page 11, Section II-DD- The scope of SJTA implementation is very broad. Can the Authority provide additional time to the vendors to collect and submit questions to better scope the software and services for the potential SJTA implementation?

Answer: No See Addendum No.1 attached hereto.

21. Page 12, Section III-B- What version of PeopleSoft HR and Payroll does the NJTA have implemented? What functions of PeopleSoft HR and Payroll are implemented (for purpose of understanding what can potentially be leveraged for the SJTA implementation?)

Answer: PeopleSoft version 9.0 of Payroll, Time and Labor, and Human Resources has been implemented at NJTA. NJTA configurations can only be leveraged if the underlying business processes can be adopted by SJTA.

22. Page 12, Section III C-3 has the NJTA implemented any work order or project costing functionality within PeopleSoft?

Answer: No, the project-costing module will be activated as part of this implementation.

23. Page 12, Section III D1- Can the consultant assume that the best practices review is focused on the financial modules listed in section III C-3. If not, please specific what business function are included for the best practices review.

Answer: It is expected that the Consultant will perform a general best practices review of all modules being upgraded and make recommendations for improvements/enhancements prior to upgrade. The modules highlighted are expected to have the greatest number of areas for improvement and are expected to require the most time in the review process.

24. Page 13, Section III D3-A – How many users does the NJTA expect for each of the new modules?

Answer: NJTA anticipates no more than 20 users for e-settlements, project costing, and strategic sourcing.

25. Page 14, Section III E-1- Is the phasing set, or can the consultant proposal alternate phasing based on the implementation of PeopleSoft being leveraged from the NJTA?

Answer: The SJTA phasing plan as outlined on Page 14 of the RFP must be adhered to.

26. Page 20, Section III E-11a-Does the NJTA currently have time clocks integrated with their PeopleSoft implementation. Does the SJTA have any specific requirements for time **clocks of** the recording?

Answer: The NJTA does not have time clocks integrated with PeopleSoft. The goal of the SJTA is to replace the current manual timecard punches with time clocks that electronically transmit punch data to the time keeping system for automated tabulation. The system will integrate with multiple time clock vendors/models using IP networking.

27. General – What level of resource commitment can we count on from the NJTA and SJTA for the project? Specifically, will the NJTA provide dedication of their internal?

Answer: See Answer No. 12 for additional NJTA information. NJTA and SJTA will dedicate the necessary resources and staff to the project within reason. Relevant Finance staff will play an integral role in the upgrade process. Appropriate staff will be assigned by module as required.

28. What level of data conversation is envisioned by the SJTA into PeopleSoft? What Systems have data to be converted from, and how much history is expected to be converted?

Answer: Existing Pentamation data is stored in an Informix database and must be converted back to 2006 as specified in the RFP.

29. General- What criteria will be used by the NJTA to determine if the optional portion of the contract will be awarded?

Answer: The decision to award the optional portion of the contract will be made at an executive level. The selection of a vendor will be based upon the criteria listed in the RFP as applied to both the NJTA and SJTA RFP responses.

30. This is with regard to the PeopleSoft Upgrade project; I need to check if we can provide tax returns of the company for the three years or is it necessary to provide the audited report?

Answer: The Audited Financial Statement is preferred. If none is available, submit relevant financial records for the past 3 years.

31. Can two corporate companies join (Joint venture basis) and bid together? Out of Two companies one company is just start up company is it enough to provide financial strength and references from one company.

Answer: Joint ventures can submit proposals, however, evaluation will be made of each individual firm with respect to legal/administration requirements.

32. In RFP Page 13 Item 2a (Financial upgrade topic) Upgrade to latest application version 9.2 and People tools version 8.49. The latest version of PeopleSoft applications is 9.1 and People tools version, which can work with 9.1, is 8.50.

Answer: Please install the latest compatible version of PeopleTools.

33. What will be an expected start date of the project since the go-live date is fixed to Oct.31, 2011?

Answer: Assuming the Authorities award contracts at their February 2011 Commission Meetings, the earliest that the projects can commence is following the expiration of the Governor's 10-day veto period and execution of the resulting contracts (maybe around mid March, 2011)

34. What is the Data base size?

Answer: The NJTA database size is approximately 90 gigabytes. The SJTA Pentamotion Database is approximately 6 Gigabytes

35. What type of software corrections made since Jan-2005. List a Major one if there is any significant change?

Answer: The NJTA's Peoplesoft Financials application is currently 8.8 SP1 Bundle Level 27.

36. What is the expected functionality in the new inventory business unit if it is same as other 28 business units list high-level functionality?

Answer: The functionality of the new inventory business unit will be the same as the other 28 inventory business units.

37. Is the inventory used only for PO purpose?

Answer: The normal functionality of the inventory module is used for traditional inventory functions such as maintaining balances of items, automatically replenishing balances, issuing stock.

38. What is the expected functionality for project costing module since these modules interact with almost every single module in financial system?

Answer; Project costing is intended to be utilized for tracking of expenditures and budgets related to the Authority's \$7 billion capital construction program. It should tie to G/L, Commitment Control, A/P, Fixed Assets and Purchasing. NJTA does minimal billing related to this program.

39. If project costing requires interacting with billing then customer contact should be in scope otherwise customization is required.

Answer: See Answer No. 38 above.

40. What is the expected functionality for new strategic sourcing module since this will impact bidders to be a PeopleSoft users, conversion of bidders, some significant amount of customization is involved for additional vendor information, compliance fulfillment of bidder before award.

Answer: Implement standard functionality for electronic bidding.

41. Expected number of bidders to be created in PeopleSoft for strategic sourcing?

Answer: Average 10 per solicitation.

42. What is the software tool used for strategic sourcing function in current environment **and** how many agencies involved or just NJTA?

Answer: Strategic Sourcing is currently not implemented.

43. Is the reverse auction functionality is used in current strategic sourcing system?

Answer: Strategic Sourcing is currently not implemented.

44. What are the sources of requisitions?

Answer: NJTA sources of requisitions are inventory replenishment, purchasing requisitions and e-procurement requisitions. SJTA has assigned members from each Department to manually enter purchase requisitions.

45. How many legal operating units used in GL and how many GL units are configured?

Answer: NJTA has 3 legal operating units, SJTA has one (1) legal operating unit.

46. How many GL cash accounts used for reconciliation purpose and are this one to one mapping between bank account to GL cash account (In other words, is there a situation where two different bank account mapped to the same GL cash account)?

Answer: NJTA currently has approximately 120 bank accounts, and for seven of those bank accounts we manually reconcile the checks. We do not utilize reconciliation. The bank accounts are one to one mapping to the GL accounts.

SJTA does not have two different bank accounts mapped or linked to the same GL account, but we do have instances where one bank account may be reported in two (2) separate GL accounts.

47. How many bank accounts are configured for NJTA?

Answer: There are 44 NJTA bank accounts configured to receive balances and previous day information from various banks through PeopleSoft. Of these accounts, approximately half are set up to initiate wire transfers through PeopleSoft.

48. How many bank accounts required to be configured for SJTA if they used PeopleSoft?

Answer: SJTA currently reconciles seventy-four (74) bank accounts.

49. What is the Deal management scope (investment types, debt types if any, 3rd party interfaces)?

Answer: Investment types include Commercial Paper, Treasuries, Agencies, instruments with coupons, sweep accounts, repurchase agreements, certificates of deposit, variable rate demand bonds. Primary debt is bonds, some with premiums, some with discounts, some with accretions, some with swaps attached, secondary debt is notes. There are no third party interfaces.

50. How many different levels of budgeting is used in commitment control and are there any reporting ledgers?

Answer: NJTA budgets at the department level by account for the operating fund and at the project level for other funds.

51. Does NTA use any performance/stress testing tool? How performance/Steer testing was conducted during 8.8 go-live.

Answer: Currently NJTA does not utilize any performance/Stress testing tool. During the initial 8.8 go-live, stress testing was conducted by the selected vendor using a “sufficient” number of users on the system simultaneously for both unit and integration stress testing. As stated in the Section III D2 of the RFP (Page 13) RFP “Consultant will be responsible for system performance tuning to insure that upgraded system performance is equivalent to that of the original system.” This requirement applies to all functionality, processes and programs.

52. What are the interfaces from 3Rd party to PeopleSoft?

Answer: For NJTA:

- a.) Maintenance Management (Hansen CMMS) to Peoplesoft Financials.
 - i.) Work orders are interfaced “real time from CMMS to a custom work order table in Peoplesoft Financials via a database link.
 - ii.) There is also an end of day replace process for Work Orders as well. All work orders created for the day in CMMS are interfaced over to the custom work order table in Peoplesoft Financials via a custom Unix shell script. This script extracts the data from the appropriate CMMS table(s) via an SQL script and subsequently uploads them into the custom Work Order table using SQL Loader. The script is Cron controlled.
- b.) Bank statement files. Currently bank statement files are “pulled” into Peoplesoft financials from (5) different banks via ftp. Custom UNIX shell scripts constitute the programs, which perform the file transfers. They are Cron controlled. The files are “pulled” from the respective bank site onto the NJTA’s ftp server. In turn they are moved from the server to one of the app servers. PeopleSoft’s delivered application engine program (BSP_IMPORT) is run as a scheduled process on the process scheduler. This process in turns loads the bank statement files into the respective underlying Peoplesoft Financials tables.
- c.) Bank wire transfer acknowledgement files. Currently the NJTA sends wire transfers to 2 banks via an ftp process. It is anticipated that the number of banks to which wire transfers are sent will be expanded to at least 3-4. Conversely, each bank “sends” back acknowledgement files. These files are “pulled” back into the Peoplesoft Financials architecture via ftp. Once again, custom UNIX shell scripts constitute the programs, which perform the file transfers. They are Cron controlled. The files are “pulled” from the respective bank site onto the NJTA’s ftp server. In turn they are moved from the ftp server to one of the app servers. From there the end users utilize bat files to move the acknowledgement to their NT directories.

Not Applicable to SJTA

53. What is the Workflow scope with repeat to each module?

Answer: For NJTA:

- a.) There is an existing customized procurements requisition approval workflow.
- b.) It is anticipated that the Consultant will develop the appropriate invoice approval workflow for the e-settlements module based upon the best practices analysis performed.
- c.) If there is a required workflow for the strategic sourcing module, the Consultant will also be expected to develop that workflow based upon the best practices review.

PROPOSALS ARE DUE ON MONDAY, JANUARY 24, 2011

Very truly yours,

**Andrea E. Ward, Director
Purchasing Department**

AW/sar